#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.:

10/563,718

International

Filing Date:

09 July 2003 (09.07.2003)

Applicant:

CARLEI, Ricardo

Title:

Glass Handling Systems

Attorney Docket:

3029-000090/NP

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE DO/EO/US

#### Sir/Madam:

Pursuant to the Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) mailed April 20, 2006 (copy attached), enclosed herewith is a fully executed Declaration and a fully executed Power of Attorney.

Should there be any questions regarding the present application or this Response, please direct all communications to the undersigned at (248) 641-1600.

Respectfully submitted,

Dated: April 26, 2006

David P. Utykanski, Reg. No. 39,052

Attorney for Applicant

Harness, Dickey & Pierce, P. L. C. P. O. Box 828 Bloomfield Hills, MI 48303 (248) 641-1600 DPU/srh

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Express Mail No.: EV 843 563 487 US

Date of Deposit: 26 April 2006

Application No.:

10/563,718

International

Filing Date:

09 July 2003 (09.07.2003)

Applicant:

CARLEI, Ricardo

Title:

**GLASS HANDLING SYSTEMS** 

Atty. Docket No.:

3029-000090/NP

Mail Stop PCT Commissioner for Patents P. O. Box 1450 Alexandria, Virginia 22313-1450

EV843563487US

## **EXPRESS MAIL TRANSMITTAL**

The following papers are being deposited with the United States Postal Service Express Mail Post Office To Addressee on 26 April 2006 and addressed for receipt by the United States Patent and Trademark Office:

- 1. Express Mail Transmittal (Label No. EV 843 563 487 US);
- Transmittal Letter to the US Designated/Elected/Office Concerning a Submission Under 35 USC 371 (3 pages, in duplicate)
- 3. Response to Notification of Missing Requirements (1 page);
- 4. Signed Declaration (1 page);
- Signed Power of Attorney (1 page);
- 6. Copy of Notification of Missing Requirements (2 pages);
- 7. Return Receipt Postcard.

HARNESS, DICKEY & PIERCE, P.L.C. P.O. Box 828
Bloomfield Hills, Michigan 48303 (248) 641-1600

Stefanie R. Hernandez

TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office
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U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

10/563,718

Ricardo Carlei

3029-000090/US/NP

INTERNATIONAL APPLICATION NO.

PCT/AU03/00882

I.A. FILING DATE

PRIORITY DATE

07/09/2003

**CONFIRMATION NO. 1518 371 FORMALITIES LETTER** 

\*OC000000018581285\*

27572 HARNESS, DICKEY & PIERCE, P.L.C. P.O. BOX 828 **BLOOMFIELD HILLS, MI 48303** 

Date Mailed: 04/20/2006

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 01/06/2006
- Copy of the International Search Report filed on 01/06/2006
- Copy of IPE Report filed on 01/06/2006
- Preliminary Amendments filed on 01/06/2006
- Information Disclosure Statements filed on 01/06/2006
- U.S. Basic National Fees filed on 01/06/2006
- Specification filed on 01/06/2006
- Claims filed on 01/06/2006
- Abstracts filed on 01/06/2006
- Drawings filed on 01/06/2006

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

 Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION. WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

## A copy of this notice **MUST** be returned with the response.

#### VONDA M WALLACE

Telephone: (703) 308-9140 EXT 225

## PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO.  |
|-----------------------------|-------------------------------|-------------------|
| 10/563,718                  | PCT/AU03/00882                | 3029-000090/US/NP |

FORM PCT/DO/EO/905 (371 Formalities Notice)



PTO-1390 (Rev. 07-2005)
Approved for use through 03/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office: U.S. DEPARTMENTOF COMMERCE

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| TRANSMITTAL LETTER TO THE UNIT<br>DESIGNATED/ELECTED OFFICE (D  | ATTORNEY'S DOCKET NUMBER<br>3029-000090/NP  |  |                                   |  |  |  |
|---|---|--|-----------------------------------|--|--|--|
| CONCERNING A SUBMISSION UNDER   |   | U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/563,718 |                                   |  |  |  |
| INTERNATIONAL APPLICATION NO.<br>PCT/AU03/00882   | INTERNATIONAL<br>09 July 2003   | FILING DATE  | PRIORITY DATE CLAIMED             |  |  |  |
| TITLE OF INVENTION  |   | <u> </u>   |                                   |  |  |  |
| Glass Handling Systems  |   |  |                                   |  |  |  |
| APPLICANT(S) FOR DO/EO/US   |   |  |                                   |  |  |  |
| CARLEI, Ricardo  Applicant herewith submits to the United States Design   | nated/Elected Office (  | DO/EO/US) the follo  | wing items and other information: |  |  |  |
|   |   |  |                                   |  |  |  |
|   |   |  |                                   |  |  |  |
| 3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.  |   |  |                                   |  |  |  |
| 4.  ☐ The US has been elected (Article 31).   |   |  |                                   |  |  |  |
| 5. A copy of the International Application as fil   | ed (35 U.S.C. 371(c)(   | 2))  |                                   |  |  |  |
| a. 🗌 is attached hereto (required only if   |   | y the International Bu                                     | ıreau).                           |  |  |  |
| ,   | b. has been communicated by the International Bureau.   |  |                                   |  |  |  |
|   |   |  |                                   |  |  |  |
| a. is attached hereto.  | дания фриссион ас   |  | (0)(2)).                          |  |  |  |
| b.  has been previously submitted under 35 U.S.C. 154(d)(4).  |   |  |                                   |  |  |  |
| 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))   |   |  |                                   |  |  |  |
| a. are attached hereto (required only if not communicated by the International Bureau).   |   |  |                                   |  |  |  |
| b. have been communicated by the Inte   |   | ich amendmente ha  | s NOT evaired                     |  |  |  |
| <ul> <li>c.  have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d.  have not been made and will not be made.</li> </ul> |   |  |                                   |  |  |  |
| 8.   An English language translation of the amer  | ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)        |  |                                   |  |  |  |
| 9. 🛛 An oath or declaration of the inventor(s) (35  | i U.S.C. 371(c)(4)).  |  |                                   |  |  |  |
| 10.   An English language translation of the ame  | 10. An English language translation of the amendments to the claims under PCT Article 36 (35 U.S.C. 371(c)(5)). |  |                                   |  |  |  |
| Items 11 to 20 below concern document(s) or information included:   |   |  |                                   |  |  |  |
| 11.   An Information Disclosure Statement under   | 37 CFR 1.97 and 1.9   | 8.   |                                   |  |  |  |
| <ol> <li>An assignment document for recording. A sis included.</li> </ol>   | separate cover sheet i  | in compliance with 3                                       | 7 CFR 3.28 and 3.31               |  |  |  |
| 13. A preliminary amendment.  | . A preliminary amendment.  |  |                                   |  |  |  |
| <ol> <li>An Application Data Sheet under 37 CFR 1.</li> </ol>   | ☐ An Application Data Sheet under 37 CFR 1.76.  |  |                                   |  |  |  |
| 15. A substitute specification.   |   |  |                                   |  |  |  |
| <ol><li>A power of attorney and/or change of addre</li></ol>  | ss letter.  |  |                                   |  |  |  |
| 17. A computer-readable form of the sequence  | listing in accordance   | with PCT Rule 13ter  | .2 and 37 CFR 1.821-1.825.        |  |  |  |
| 18. A second copy of the published International  | al Application under 35   | 5 U.S.C. 154(d)(4).  |                                   |  |  |  |
| <ol> <li>A second copy of the English language tran</li> </ol>  | slation of the internati  | onal application und                                       | er 35 U.S.C. 154(d)(4).           |  |  |  |

This collection of information is required by 37 CFR 1.414. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO-1390 (Rev. 07-2005)
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U.S. Patent and Trademark Office: U.S. DEPARTMENTOF COMMERCE
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| U.S.  | APPLICA <sup>*</sup>   |                  | •                         | , see 37 CFR                   | INTERNATIONA  |                      |                  | ATTORNEY'S DO          | CKET NUMBER     |
|---|--|------------------|---------------------------|--------------------------------|---|----------------------|------------------|------------------------|-----------------|
| 1.5)<br>10/563,718  |  | PCT/A            | U03/0088                  | 32                             | 3029-000090/NP  |                      |                  |                        |                 |
| 20.   | Oth  |                  |                           | mation:                        |   |                      |                  |                        |                 |
| 20.   | <ol> <li>Other items or information:</li> <li>Express Mail Transmittal (EV 843 563 487 US); Response to Notification (</li> </ol>  |                  |                           |                                | lotification of   | Missing Requirement  | s under 35 U.S.C |                        |                 |
|   | 371; Copy of Notification of Missing Requirements; and Return Receipt Pos  |                  |                           |                                |   |                      |                  | 3 dilder 33 0.3.C.     |                 |
| 1   |  |                  |                           |                                |   |                      |                  |                        |                 |
|   |  |                  |                           |                                |   |                      |                  |                        |                 |
|   | The follo  | owing            | fees have l               | been                           |   |                      | -                | CALCULATIONS           | PTO USE<br>ONLY |
| 21.   |  | ))               |                           |                                | \$300   | (37                  | CFR              | \$                     |                 |
| 22.   |  |                  |                           | CFR 1.492(c                    | •   |                      |                  |                        |                 |
| If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisy provision of PCT Article 33(1)-(4)\$0 |  |                  |                           |                                |   | provision of         | \$               |                        |                 |
|   | All  | _                |                           |                                |   | •                    | other            |                        |                 |
| 22  |  |                  |                           |                                |   | \$                   | 200              |                        | <u> </u>        |
| 23.   |  |                  | (37 CFR 1                 |                                | r the International nu  | aliminaa.            |                  |                        |                 |
|   | If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1). |                  |                           |                                |   | ons of PCT<br>33(1)- |                  |                        |                 |
|   | Search<br>to the U<br>\$100  | fee (3°<br>JSPTC | 7 CFR 1.44<br>D as an Int | 45(a)(2)) has<br>ternational S | been paid on the infearching Authority                                | ternational          | application      | \$                     |                 |
|   | to the   | Offi             | ice or p                  |                                | by an ISA other than communicated to                                  |                      |                  |                        |                 |
|   | All  | •••••            | \$400                     |                                |   |                      | atha             |                        |                 |
|   |  | ıs               |                           |                                | ***************************************                               | \$5                  | other<br>600     |                        |                 |
|   | TOTAL  | OF 21            | , 22 and 2                | 3=                             |   |                      |                  | \$                     |                 |
|   | Addition   | al fee           | for specif                | fication and                   | drawings filed in pa  | per over             | 100 sheets       | ·                      | <u> </u>        |
|   | compute  | er prog          | gram listing              | in an electro                  | pliance with 37 CFI<br>onic medium)(37 CFR<br>) sheets of paper or fi | R 1.492(j)).         |                  |                        |                 |
| Total   | Sheets   |                  | Sheets                    |                                | each additional 50 o  |                      | RATE             |                        |                 |
| 10.21   | Oneem  | LAUG             | a Oneeta                  |                                | und up to a whole nu  |                      | KAIL             |                        | :               |
|   | -100=  |                  | /50=                      |                                | -   |                      | X \$250          | \$                     | 6               |
| Surch   | Surcharge of \$130 for furnishing any of the search fee, examination fee, or the oath or   |                  |                           | the oath or                    | \$  |                      |                  |                        |                 |
| decla   | declaration after the date of commencement of the national stage (37 CFR 1.492(h)).  |                  |                           | 1.492(h)).                     | <b>J</b>  |                      |                  |                        |                 |
| CLAIN   |  |                  | NUMBER                    |                                | NUMBER EXTRA  | RATE                 |                  | \$                     |                 |
|   | Claims   |                  | -20                       |                                |   | X \$50               |                  | \$                     |                 |
| claims  |  |                  | -3=                       | Type:                          |   | X \$200              |                  | \$                     |                 |
| MULT  | MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360  |                  |                           |                                | \$\$  |                      |                  |                        |                 |
| TOTAL OF ABOVE CALCULATIONS=  |  |                  |                           |                                | \$  |                      |                  |                        |                 |
|   | Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.  |                  |                           |                                |   | are reduced          |                  |                        |                 |
| SUBTOTAL=   |  |                  |                           |                                |   |                      | \$               |                        |                 |
| Proce<br>from<br>+  | prompt (0) (1) (1) (2)   |                  |                           |                                |   |                      | \$               |                        |                 |
| TOTAL NATIONAL FEE=   |  |                  |                           |                                |   | \$                   |                  |                        |                 |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property. +           |  |                  |                           | \$                             |   |                      |                  |                        |                 |
|   | TOTAL FEES ENCLOSED=   |                  |                           |                                | \$  |                      |                  |                        |                 |
|   |  |                  |                           |                                |   |                      |                  | Amount to be refunded: | \$              |
|   |  |                  |                           |                                |   |                      |                  | Amount to be charged   | \$              |

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| a. ⊔  | A check in the amount of \$ to cover the above fees is enclosed.  |   |  |  |  |  |
|---|---|---|--|--|--|--|
| b. 🗆  | Please charge my Deposit Account No in the amount of \$ to cove sheet is enclosed   | er the above fees. A duplicate copy of this |  |  |  |  |
| c. 🖾  | The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>08-0750</u> . A duplicate copy of this sheet is enclosed.                                  |   |  |  |  |  |
| d. 🗆  | Fees are to be charged to a credit card. <b>WARNING</b> : Information on this form may become public. <b>Credit card information should not be included on this form</b> . Provide credit card information and authorization on PTO-2038. |   |  |  |  |  |
| NOTE: | NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.                            |   |  |  |  |  |
| SEND A  | LL CORRESPONDENCE TO:   | SIGNATURE SIGNATURE                         |  |  |  |  |
| Harness   | Utykanski<br>, Dickey & Pierce P.L.C.   | _ David P. Utykanski                        |  |  |  |  |
|   | x 828<br>eld Hills, MI 48303<br>states of America   | NAME  |  |  |  |  |
| O TIMO O  | nates of Afficia  | 39,052<br>REGISTRATION NUMBER               |  |  |  |  |
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